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THERMOCHROMIC MATERIALS: OXIDES WITH APPLICATIONS IN INTELLIGENT TECHNOLOGIES

BY

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Abstract. Many types of smart materials have been studied and developed in the late years, due to their applications in industry (military, aerospace, medical, construction). The possibilities to develop environmentally friendly products (*e.g.* reducing the cost of building maintenance by using construction materials / smart windows, pollution reduction by using photo-catalytic active materials) are also studied. This type of intelligent materials also includes thermo-chromatic materials, able to change their color with temperature variation. This paper presents the phenomenon of thermo-chromatism, the main classes of thermo-chromatic materials, with emphasis on oxide materials, methods of obtaining, their applications and the current state of research in the field of mechanisms of producing thermo-chromatic phenomena.

Keywords: thermochromic materials; organic-inorganic hybrid systems; VO₂.

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1. Introduction

Thermochromism is defined as a thermally induced transformation of a molecular structure, which determines a spectral change, usually of visible colour (but not necessarily). The stimulus can theoretically be any source of thermal energy (not only solar), and the resulting spectral change must not occur only in the range of visible light, but it can be any change in optical properties. This phenomenon can be reversible (the material returns to its original colour after cooling) or it can be irreversible.

Thermochromic behaviour can be obtained by various mechanisms, such as charge transfer, crystal field or any change in chemical structure. The characteristics of thermochromic materials are temperature memory functions, with potential important applications in the aerospace, military, anti-fake technology, construction, ophthalmology, fashion / cosmetics, security, sensors, optical memory and optical switches, paints, inks, plastics and textiles as indicators / sensors and in architecture, etc. but also in other areas. Differences of reversible thermochromism in systems where heat variation is the only cause of colour change, from systems where colour variation is induced by changes in the environment around the chromophore caused by heat, such as a change in pH value, should be made.

It is known that continuous thermochromism is a gradual change in colour as the temperature rises, and discontinuous thermochromism which corresponds to a sudden change in colour at a certain temperature or in a range of temperatures. This last phenomenon, which occurs mostly in the case of inorganic compounds, is essentially related to a solid-solid transition (change of coordination geometry around a metal centre, change of coordination number or ligands).

When the thermochromism of a molecular system results from the association with other chemical compounds (metal ions, protons, modification of the systems environment), this phenomenon is called thermo-solvatochromatism.

Several classes of materials that exhibit the phenomenon of thermochromism are known. These are: thermochromic liquid crystals, organic dyes, in fact mixtures of leuco dyes with other suitable chemicals, displaying a colour change depending on temperature (usually between the colourless leuco form and the coloured form), polymers, inorganic materials (metal oxides, sulphides, halides, phosphates, mixed oxide-sulphide compounds) or complex combinations.

Because thermochromic phenomena usually occur without additional energy input (they take their energy from the environment), autonomous thermochromic materials are of great research interest and have presented great potential for multiple applications, as it shown in Fig. 1 (Abdullah *et al.*, 2010; Guan *et al.*, 2018; Jakovljevic *et al.*, 2020; Mandal *et al.*, 2019; Stasiek *et al.*, 2002).

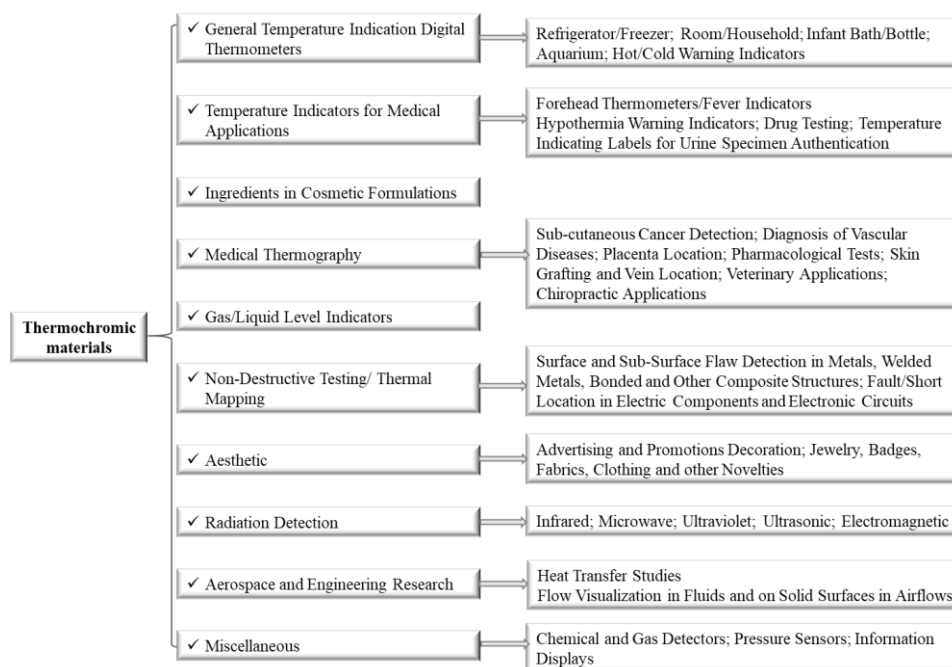


Fig. 1 – The main applications of thermochromic materials.

It is generally accepted that chromogenic dyeing involves the capture of electrons from inside the material in special lattice positions, usually in the anion (oxygen) free positions. Electronic excitation in thermochromism occurs through electron-photon interactions (Tomás *et al.*, 2009).

2. Thermochromic Materials

2.1. Organic Thermochromic Materials

Several classes of organic materials with thermochromic properties are known; the colour changes due to the changes of the electronic properties (energy levels, electronic populations) of these materials' dependent on temperature variations.

2.1.1. Thermochromic Liquid Crystals (TLC)

Liquid crystals are anisotropic fluids that exist at the boundaries of the solid phase and the conventional, isotropic liquid phase. Thermochromic liquid crystals (TLC) react to temperature variations by changing their colour, their optical properties varying in a predictable and repeatable way.

Liquid crystals are generally named according to the formation mode (lyotropic ones are formed under the action of a solvent, thermotropic ones depend on temperature), those of interest on thermochromic properties being thermotropic liquid crystals (which, in turn, are classified as smectic, nematic or cholesteric). The term “cholesteric” derives from the fact that the first chemicals that showed the characteristic properties and structure of this particular type of liquid crystal were cholesterol esters. TLC-based temperature visualization is based on the property of these materials (cholesteric and chiral-nematic liquid crystals) to reflect colours at specific temperatures and specific viewing angles. The temperature-colour range depends on the composition of TLC and can be selected for values of about 0.5°C, in wide temperature ranges (from -30°C to over 120°C). As long as these TLC-s retain their physico-chemical properties (they are not damaged), the colour changes are repeatable and reversible, with a response time of approximately 10 ms, and can be accurately calibrated (Stasiek and Kowalewski 2002).

They have chiral (twisted) molecular structures and are optically active mixtures of organic chemicals.

TLC-s change colour by selectively reflecting incident white light. Conventional temperature-sensitive blends in thin films reflect bright, almost pure colours, changing from colourless (black, on a black background) to red colour at a certain temperature. As the temperature rises, they pass through the other colours of the visible spectrum. The colour changes are reversible, and the colour change sequence is reversed, on cooling. TLCs are commercially available in a variety of forms, including water-resistant or micro-encapsulated forms, with response times beginning with a few milliseconds.

Thus, Guan *et al.* (2018) synthesized (by the coacervation method) micro-encapsulated cholesteric liquid crystals, used as thermochromic materials in intelligent functional fibres, the thermochromic properties being closely related to particle size.

TLCs with selective reflection, with iridescent colour generating behaviour, based on (-) - menthyl group were obtained recently (Luo *et al.*, 2019). TLCs used as thermal imaging tools in applications such as heat transfer, thermal flow visualization, microfluidic or electro-optical devices (Abdullah *et al.*, 2010; Yan *et al.*, 2018) and medical diagnosis (Bharara *et al.*, 2006), TLCs with uses as thermochromic ink (Jakovljevic *et al.*, 2020) are just a few examples of the diversity of applications of this class of materials.

2.1.2. Thermochromic Polymers

A series of polymers, sometimes doped with different compounds (oxides, sulphides, coordination compounds) have thermochromic properties and multiple practical applications. Among the best-known polymers with thermochromic properties are spiro-pyranes and poly-diacetylenes (PDAs).

Optical changes induced by temperature change are mainly due to the reduction of the effective length of the conjugate chain in the PDA system, which could be caused by twisting longer alkyl chains attached to the main chain of the PDA (Huo *et al.*, 2017; Lee *et al.*, 2016).

A possible mechanism to explain the thermochromism of diacetylenes was proposed by Mergu and Son, 2021, starting from the fact that diacetylenic monomers on UV irradiation turn into blue-coloured polydiacetylene which turns red in the presence of external stimuli (such as the heat). The “blue” flat shape has a continuous overlap of π electrons and a long conjugation length, and after applying the thermal stimulus, the stress accumulated during photopolymerization is released by partially modifying the long alkyl chains that eventually twist the π orbitals to non-planar states, which reduces the effective length of the conjugation producing the transition from blue to red.

Maximum absorption (λ_{max}) of PDA in different phases is caused by polymer chain rearrangements due to steric repulsions and attraction forces (*e.g.*, hydrophobic interactions and electronic π - π interactions) that occur as a result of thermal stimuli (Mergu and Son, 2021). The field of thermochromic polymers is vast and research in the field is booming (Cheng *et al.*, 2018; Mandal *et al.*, 2019; Sun *et al.*, 2021).

2.2. Hybrid Organic and Organic-Inorganic Systems

The hybrid systems are of great interest mainly those which exploit the synergistic action of different organic phases (polymers - leuco-dyes or polymers - inorganic materials), effectively combining the specific properties of the component phases. Compared to traditional thermochromic materials, liquid crystal (LC) / polymer hybrid materials present advantages in terms of manufacturing process and easier processing. Thus, Liang *et al.* (2018) promoted a new soft thermochromic composite film (TS) based on polymeric / temperature sensitive liquid crystals (TLC) with a thermally induced phase transition between smectic state (SmA) and chiral nematic state (N*), with reversible transmittance starting from acrylic monomers (lauryl methacrylate, polyethylene glycol-di-acrylate (PEGDA 600) and hydroxy-propyl methacrylate) which was sandwiched between two transparent and conductive polyester substrates via capillary action.

Hybrid polymer materials - leuco dyes (methylene blue, crystal violet, etc.) have significantly higher thermochromic properties compared to those of simple polymers. Baron and Elie, (2003), Cheng *et al.*, (2018) and recently Soreno *et al.*, (2020) synthesized a thermochromic material that exhibits reversible colour switching by simply mixing the methyl red dye with polydimethylsiloxane.

In addition to those presented above, there are known polymeric films of poly (methyl-methacrylate), poly-vinyl-pyrrolidone and poly (styrene-

butadiene-styrene), doped with complex organo-boron combinations with fluoro-pyridyl-diketone ligands which also show thermochromic and acidochromatic properties (Jimenez *et al.*, 2020).

2.3. Inorganic Thermochromic Materials

Several classes of inorganic materials have thermochromic properties, such as transition metals oxides, sulphides, halides, phosphates, complex combinations or mixed oxide-sulphide compounds. For example, titanium dioxide, zinc sulphide and zinc oxide are white at room temperature, but when heated, they turn yellow. Similarly, indium (III) oxide is yellow and darkens to yellow-brown when heated.

2.3.1. Metallic Oxides with Thermochromic Properties

Thermochromic properties varying in a wide temperature range were found in the simple or mixed oxides of transitional elements but not only there. One of the most studied and used oxide is VO₂ (Chang *et al.*, 2021).

The thermochromic properties of VO₂ derive from a phase transition from monoclinic (semiconductor, optically transparent) to tetragonal (metallic and thus optically reflective). The phase transition takes place at 67.8°C in femtoseconds. The behaviour can be attributed to changes in lattice patterns with temperature, resulting in a change in colour. The behaviour is similar to the phenomenon of polymorphism. The excitation of electrons followed by de-excitation with the release of energy in the form of photons may also be responsible for the colour change with temperature. In the monoclinic phase, V⁴⁺ ions form pairs along the c-axis, leading to alternation of short and long V-V distances of 2.65 Å and 3.12 Å. In comparison, in the tetragonal phase, the V⁴⁺ ions are separated by a fixed distance of 2.96 Å. As a result, the number of V⁴⁺ ions in the cell of the crystalline unit doubles from the tetragonal phase to the monoclinic phase.

Equilibrium morphology of VO₂: tetragonal particles are acicular, laterally limited by surfaces (110), which are the most stable termination planes. The surface tends to be oxidized relative to the stoichiometric composition, with oxygen adsorbed on the surface (110) forming vanadyl species. The presence of V⁵⁺ ions on the surface of VO₂ films was confirmed by X-ray photoelectron spectroscopy (Wang *et al.*, 2017).

But VO₂ encapsulated in thin films of SiO₂, TiO₂, ZnO or Cr₂O₃ (Xie *et al.*, 2021) present special thermochromic properties regarding their relatively high light transmission capacity and solar modulation), the material of the encapsulation coating modifying the optical performance of the thermochromic films due to the variation of the dielectric medium of the VO₂ nanoparticles.

Most applications of vanadium dioxide require its synthesis in the form of thin films, but several original forms of this material are mentioned for nanotechnology research such as nanoparticles and nanowires. VO₂ synthesis can be done by chemical processes (sol-gel process: the first step generally consists in synthesizing a V₂O₅ film using vanadium isopropyl-oxide precursors as VO(OC₃H₇)₃; then calcination in a reducing atmosphere or under low oxygen pressure; chemical vapor deposition (CVD) allows the deposition of epitaxial thin films on sapphire substrates (low pressure CVD) or polycrystalline thin films at atmospheric pressure); physical processes such as pulsed laser ablation deposition that makes possible to obtain thin films epitaxy on various monocrystalline substrates or electron beam irradiation evaporation - an electron beam irradiates a target of metallic vanadium and causes its evaporation; the synthesis is carried out in oxygen atmosphere and at substrate temperatures between 500 and 600°C. This technique allows the deposition of epitaxial films on substrates (Blagojevic *et al.*, 2013; Kim *et al.* 2010; Kats *et al.*, 2013; Pattanayak *et al.*, 2018; Lee *et al.*, 2017).

In₂O₃ is an amphoteric oxide of indium with applications in intelligent technologies (especially as a thermochromic material) it is yellow at low temperature, becoming yellow-brown when heated. In the form of a thin layer (film) is a light-transparent metal oxide (Korotcenkov *et al.*, 2016). The crystalline form exists in two phases, cubic (bixbyite type) and rhombohedral (corundum type). Both phases have a bandwidth of about 3 eV. The rhombohedral phase is produced at high temperatures and pressures or when unbalanced growth methods are used.

The material obtained by the heating the combination of Cr₂O₃-Al₂O₃ has an exceptional thermochromic property, going from pink to grey or green in a temperature range of 25-600°C. The colour change is reversible and is dependent on the ambient temperature and the concentration of chromium; however, it is not depending on exposure time (Nguyen *et al.*, 2017). Cr³⁺ ions replace Al³⁺ ions in Al₂O₃, the resulting material being Cr doped Al₂O₃ (ruby). If the chromium concentration in the compounds is low, the colour of the mixture is pink. At high concentrations of chromium, the colour of the compounds is green. The advantages of ruby are high heat resistance, high melting point, high mechanical strength, high transparency and excellent chemical stability. More importantly, the compounds may exhibit a remarkable phenomenon of reversible thermochromism. When heated, the colour of ruby changes from pink at low temperatures to green at high temperatures. This change is observed in a wide range of temperatures, from 200 to 900°C. The colour transition temperature threshold can be varied depending on the concentration of chromium doped in the Al₂O₃ lattice: 5% Cr₂O₃-95% Al₂O₃ changes from red to green at about 250°C, or 10% Cr₂O₃-90% Al₂O₃ changes from red to green / gray in the range 400-450°C.

Crystalline ZnO has the property of changing its colour (it is thermotropic) from white to yellow - green / reddish on heating (depending on temperature) and on cooling it returns to white. This colour variation is due to the formation of a non-stoichiometric oxide, by losing a small percentage of oxygen, for example for the temperature of 800°C, the formula is Zn_{1+x}O , where $x = 0.00007$ ($\text{ZnO} \leftrightarrow \text{Zn}_{1+x}\text{O}$). As a thermochromic material, it is used alone or in composite materials (Chanakul *et al.*, 2013).

Ga-based oxides are reported as a new host for inorganic reversible thermochromic materials. Doping them with transition metals from “d” block is an effective way to control the electronic spectrum. The multi-coloured reversible thermochromic materials were prepared with $\text{Er}_3\text{Ga}_5\text{O}_{12}$ doped with Cr^{3+} , Mn^{3+} , Fe^{3+} , Co^{3+} following a solid-state reaction at high temperature. Colour parameters depending on temperature were explored in the range of 20-460°C (Liu *et al.*, 2019).

2.3.2. Sulphides, Selenides and Other Compounds

Besides the oxides, other classes of inorganic compounds have thermochromic properties (Cheng *et al.*, 2018). Thus, sulphides, selenides, phosphates or complex combinations, simple or in hybrid structures have many practical applications. For example, MoO_3 films doped with ZnSe or CdS have been studied for the use in display devices (Tomás *et al.*, 2009; Traiphol *et al.*, 2009). The VO_2 / ZnS combination has applications in adaptive infrared camouflage (Ji *et al.*, 2018) and Bismuth-containing multifunctional phosphate ($\text{Mg}_2\text{Bi}(\text{PO}_4)_2\text{O}_2$) has also been studied for thermochromic applications (Li *et al.*, 2020). Also, in the category of phosphates with thermochromic uses is $\text{SrZr}_4(\text{PO}_4)_6$ doped with V, Ca or Ba (Yanase *et al.*, 2019). Some authors have studied the thermochromic properties of BaCO_3 doped with different percentages of Fe_2O_3 that allows the displaying of a large temperature range. Significant colour change is associated with decarbonation and the formation of mixed oxide of barium and the transition metal. The colour difference between the reagent mixture and the mixed oxide resulted is generally due to the increase of the oxidation state of the transition metal (Lataste *et al.*, 2011).

Also, quite well studied are polycrystalline $\text{CuMo}_{1-x}\text{W}_x\text{O}_4$ solid solutions (Pudza *et al.*, 2021), binuclear complex $\text{Re}_2(\text{CO})_6(\text{L})\text{Br}_2$, with L= 1,2,4,5-tetrakis(diphenylphosphino)benzene (Petyuk *et al.*, 2020) or tetrazole-functionalized iodo-cuprate (Yang *et al.*, 2020).

3. Conclusions

Thermochromic materials are a new research topic, both in terms of synthesis methods, physical-chemical properties and, most importantly, practical applications. Often, the high transition temperature, the cost of these

materials and the difficulties of synthesis limit the study of their possible applications. It is observed from the literature review, that in order to make the widespread use of these materials accessible, it is best to go for inorganic-organic hybrid materials, with specific dopants, which lower the transition temperature and improve the physical-chemical and mechanical properties.

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MATERIALE TERMOCROMATICE: OXIZI CU APLICAȚII ÎN TEHNOLOGII INTELIGENTE

(Rezumat)

În ultimii ani au fost studiate și dezvoltate multe tipuri de materiale inteligente, datorită aplicațiilor lor în industrie (militară, aerospațială, medicală, de construcții) și posibilităților de a dezvolta produse prietenoase cu mediul înconjurător (reducerea costului de întreținere clădirilor prin folosirea de materiale de construcție / ferestre inteligente, reducerea poluării prin utilizarea de materiale active fotocatalitice). În

categoria materialelor inteligente se integrează și materialele termocromatice, capabile să își schimbe culoarea la variația de temperatură. În această lucrare este prezentat fenomenul de termocromatism, principalele clase de materiale termocromatice, cu accent pe materialele oxidice, metode de obținere, aplicațiile lor precum și stadiul actual al cercetărilor în domeniu, privind mecanisme de producere a fenomenelor termocromatice.